

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Our Docket No.: **C516.12-0006** Date: **September 18, 2003**

First Named Inventor: **Michael P. Corcoran et al.**

Title: **SELF CENTERING CLOSURE DEVICE FOR SEPTAL OCCLUSION**

Express Mail No.: **EV 302261126 US**

31038 U.S.P.T.O.
10/666080
091803

APPLICATION ELEMENTS

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

1. Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status

3. Specification Total Pages **[24]**

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. Drawings (35 U.S.C. 113) Total Sheets **[6]**

5. Oath or Declaration Total Pages **[2]**

- a. Newly Executed (original or copy)
- b. Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76.

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

- a. Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies; or
 - ii. Paper
- c. Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. 3.73(b) Submission

Power of Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement with copies of Citations as necessary

13. Preliminary Amendment Total Pages **[]**

14. Return Receipt Postcard (Should be specifically itemized)

15. Certified Copy of Priority document(s) (If foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent

17. Two checks totaling \$688.00

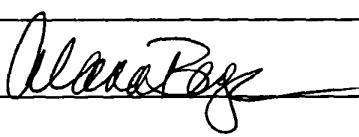
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Division Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	Alana T. Bergman	47,420	SIGNATURE: 
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002		
TELEPHONE	(612) 339-1863 FAX: (612) 339-6580		

FEE TRANSMITTAL		<i>Complete if Known</i>									
		Application No.									
		Filing Date		Herewith							
		First Named Inventor		Michael P. Corcoran et al.							
		Group Art Unit									
Examiner Name											
Total Amount of Payment \$688.00		Atty. Docket Number		C516.12-0006							
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account <u>No.11-0982</u> . Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed		3. ADDITIONAL FEES									
2. <input checked="" type="checkbox"/> Check Enclosed		Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid				
		1051	130	2051	65	Surcharge - Late filing fee or oath	*				
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	*				
		1053	130	1053	130	Non-English specification	*				
		1812	2,520	1812	2,520	For Filing a Request for Reexamination	*				
		1251	110	2251	55	Extension for reply within first month	*				
		1252	410	2252	205	Extension for reply within second month	*				
		1253	930	2253	465	Extension for reply within third month	*				
		1254	1,450	2254	725	Extension for reply within fourth month	*				
		1255	1,970	2255	985	Extension for reply within fifth month	*				
		1402	320	2402	160	Filing a brief in support of an appeal	*				
		1403	280	2403	140	Request for oral hearing	*				
2. EXTRA CLAIM FEES		1814	110	2814	55	Terminal Disclaimer Fee	*				
Number Claims		Prior**	Extra	Fee from Below	Fee Paid	1452	110	2452	55	Petition to revive - unavoidable	*
Total <u>41</u> - <u>20</u> = <u>21</u>		<u>9</u>	<u>9</u>	<u>189</u>		1453	1,300	2453	650	Petition to revive - unintentional	*
Indep. <u>5</u> - <u>3</u> = <u>2</u>		<u>42</u>	<u>42</u>	<u>84</u>		1501	1,300	2501	650	Utility/Reissue issue fee	*
Multiple Dependent Claims		*	*	*		1502	470	2502	235	Design issue fee	*
**Insert 3 and 20, or number previously paid if greater; Reissue see below						1460	130	1460	130	Petitions to the Commissioner	*
		Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	1807	50	1807	50	Petitions related to provisional applications	*
		1202	18	2202	9	1806	180	1806	180	Submission of Information Disclosure Statement	*
		1201	84	2201	42	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
		1203	280	2203	140	1801	750	2801	375	Request for Continued Examination (RCE)	*
		1204	84	2204	42	Other fee (specify) _____				*	
		1205	18	2205	9	Subtotal (2) \$273.00					
								Subtotal (3) \$40.00			

Signature Alana Bergman Reg. No. 47,420
 Alana T. Bergman
 Date 9-18-03 Deposit Account No. 11-0982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named	Batch No:
Inventor : Michael P. Corcoran et al.	
Appln. No. :	Allowed:
Filed : Herewith	Group Art Unit:
Title : SELF CENTERING CLOSURE DEVICE FOR SEPTAL OCCLUSION	Examiner:
Docket No. : C516.12-0006	

LETTER TO OFFICIAL DRAFTSMAN

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SENT VIA EXPRESS MAIL
Express Mail No.: EV 302261126 US

Sir:

Enclosed are six (6) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: 9-18-03

By: Alana Bergman

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ATB:bmg